

# $\beta$ -Amyloid PET and $^{123}\text{I}$ -FP-CIT SPECT in Mild Cognitive Impairment at Risk for Lewy Body Dementia

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## Abstract

### Objective

To determine the clinical phenotypes associated with the  $\beta$ -amyloid PET and dopamine transporter imaging ( $^{123}\text{I}$ -FP-CIT SPECT) findings in mild cognitive impairment (MCI) with the core clinical features of dementia with Lewy bodies (DLB; MCI-LB).

### Methods

Patients with MCI who had at least 1 core clinical feature of DLB ( $n = 34$ ) were grouped into  $\beta$ -amyloid A+ or A- and  $^{123}\text{I}$ -FP-CIT SPECT D+ or D- groups based on previously established abnormality cut points for A+ with Pittsburgh compound B PET standardized uptake value ratio (PiB SUVR)  $\geq 1.48$  and D+ with putamen  $z$  score with DaTQUANT  $< -0.82$  on  $^{123}\text{I}$ -FP-CIT SPECT. Individual patients with MCI-LB fell into 1 of 4 groups: A+D+, A+D-, A-D+, or A-D-. Log-transformed PiB SUVR and putamen  $z$  score were tested for associations with patient characteristics.

### Results

The A-D+ biomarker profile was most common (38.2%), followed by A+D+ (26.5%) and A-D- (26.5%). The least common was the A+D- biomarker profile (8.8%). The A+ group was older, had a higher frequency of *APOE*  $\epsilon 4$  carriers, and had a lower Mini-Mental State Examination score than the A- group. The D+ group was more likely to have probable REM sleep behavior disorder. Lower putamen DaTQUANT  $z$  scores and lower PiB SUVRs were independently associated with higher Unified Parkinson's Disease Rating Scale-III scores.

### Conclusions

A majority of patients with MCI-LB are characterized by low  $\beta$ -amyloid deposition and reduced dopaminergic activity.  $\beta$ -Amyloid PET and  $^{123}\text{I}$ -FP-CIT SPECT are complementary in characterizing clinical phenotypes of patients with MCI-LB.

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## Glossary

**ADRC** = Alzheimer's Disease Research Center; **DLB** = dementia with Lewy bodies; **LB** = Lewy bodies; **MCALT** = Mayo Clinic Adult Lifespan Template; **MCI** = mild cognitive impairment; **MMSE** = Mini-Mental State Examination; **PiB** = Pittsburgh compound B; **RBD** = REM sleep behavior disorder; **SUVR** = standardized uptake value ratio; **UPDRS** = Unified Parkinson's Disease Rating Scale; **VOI** = volume of interest.

Mild cognitive impairment (MCI) with  $\geq 1$  core features of dementia with Lewy bodies (DLB) represents an interim phase that may be present years before a diagnosis of clinically probable DLB, and recently, this was formulated as the key prodromal DLB phenotype (MCI with Lewy bodies [LB]).<sup>1</sup> The use of imaging biomarkers has facilitated the early detection of DLB,<sup>2</sup> and identification of patients in the MCI-LB stage provides an opportunity for early intervention. In addition to the deposition of  $\alpha$ -synuclein pathology that constitutes LB disease, many patients have varying degrees of  $\beta$ -amyloid pathology, which may synergistically contribute to the pathogenesis of DLB.<sup>3-5</sup> However, whether coexisting Lewy-related pathology and  $\beta$ -amyloid pathology influence the clinical presentation of the prodromal stage of DLB is currently unknown.

Elevated  $\beta$ -amyloid burden on Pittsburgh compound B (PiB) PET<sup>6</sup> was reported in more than half of patients with DLB<sup>7</sup> and has been associated with greater cognitive impairment in DLB.<sup>8</sup> The quantitative analysis of antemortem <sup>123</sup>I-FP-CIT SPECT demonstrated excellent discrimination between patients with and those without autopsy-confirmed LB disease, supporting the utility of <sup>123</sup>I-FP-CIT SPECT as a biomarker for the underlying Lewy-related pathology in MCI-LB.<sup>9-12</sup>

Our objective was to determine the clinical phenotypes associated with  $\beta$ -amyloid PET and <sup>123</sup>I-FP-CIT SPECT in patients with MCI-LB who are at a higher risk for progression to probable DLB. We hypothesized that the 2 imaging modalities that are sensitive to different pathologic processes associated with DLB would provide complementary information for characterizing the clinical phenotype in patients with MCI-LB.

## Methods

### Participants

The current study included patients with MCI-LB ( $n = 34$ ) with at least 1 core feature of DLB (i.e., parkinsonism, fluctuations, visual hallucinations, or REM sleep behavior disorder [RBD]) who were enrolled in the Mayo Clinic Alzheimer's Disease Research Center (ADRC) between January 2012 and January 2020. Diagnosis of MCI was made according to the published criteria.<sup>1</sup> Evaluations included information obtained through clinical interview by a neurologist, a neurologic examination, and the neuropsychological assessment. The Mini-Mental State Examination (MMSE) and Clinical Dementia Rating Sum of Boxes were used to determine cognitive and

disease severity. Assessments for the clinical features of DLB were detailed in previous reports from the ADRC cohorts.<sup>13,14</sup> Briefly, the presence of parkinsonism was based on 2 of the 4 cardinal features (tremor, rigidity, bradykinesia, and postural instability), and the severity was quantified with the Unified Parkinson's Disease Rating Scale Part III (UPDRS-III).<sup>15</sup> A history of probable RBD was based on the International Classification of Sleep Disorders-II diagnostic criteria.<sup>16</sup> Visual hallucinations were characterized by being fully formed and not restricted to a single episode or related to another medical issue, advanced dementia, or treatment. The presence of fluctuations was based on the 4-item Mayo Fluctuations Scale scores of 3 or 4.<sup>17</sup>

### Standard Protocol Approvals, Registrations, and Patient Consents

The study was approved by the Mayo Clinic Institutional Review Board. Informed consent was obtained from all participants and/or their proxies for participation in this study.

### MRI and $\beta$ -Amyloid PET Acquisitions

MRI examinations were performed at 3T, and a 3-dimensional high-resolution magnetization prepared rapid gradient echo acquisition with  $\approx 1$ -mm cubic resolution was obtained for anatomic segmentation and labeling.  $\beta$ -Amyloid PET was performed with PiB<sup>6</sup> on PET/CT scanners in the 3-dimensional mode. The PiB scans consisted of four 5-minute dynamic frames acquired from 40 to 60 minutes after injection with an average of 576 MBq (range 448–673 MBq).

### $\beta$ -Amyloid PET Image Analysis

$\beta$ -Amyloid PET images were analyzed with our in-house fully automated image processing pipeline<sup>18</sup> in which image voxel values are extracted from automatically labeled regions of interest propagated from the MRI template (Mayo Clinic Adult Lifespan Template [MCALT]) using SPM12 and the advanced normalization tools (ANTs). Regional cortical uptake of PiB was determined with the MCALT\_AD122 atlas. The global cortical PiB retention standardized uptake value ratio (SUVR) was calculated from the prefrontal, orbitofrontal, temporal, parietal, anterior cingulate, and posterior cingulate/precuneus regions of interest using gray plus white sharpened voxels that were normalized to the cerebellum crus, as previously reported.<sup>19</sup>

### Dopamine Transporter Imaging Acquisitions

A <sup>123</sup>I-FP-CIT SPECT (DaTscan, GE Healthcare, Chicago, IL) was performed according to previously published protocol for each participant.<sup>12</sup> In brief, at least 1 hour before the

injection of  $^{123}\text{I}$ -ioflupane, a 100 mg Lugol solution was given, and then the recommended  $^{123}\text{I}$ -ioflupane dose of 111 to 185 MBq (3–5 mCi) was slowly administered intravenously. SPECT imaging occurred 3 to 6 hours after injection. GE D670/D630 SPECT systems with ultrahigh-resolution fan beam collimators and an energy setting of 159 keV 20% window were used on all patients. Data were reconstructed with ordered subset expectation maximization method; the planar images were prefiltered with a Butterworth filter (power 10, cutoff 0.6 cycles/cm); and no attenuation correction was used.<sup>12</sup> Projection images were used for the quantitative analysis.

### **$^{123}\text{I}$ -FP-CIT-SPECT Analysis**

Semiquantitative calculations of striatal uptake were performed by DaTQUANT software (GE Healthcare). The volumes of interest (VOIs) of DaTQUANT fixed size were semi-automatically placed over the right and left putamen and caudate nucleus in the transaxial slice showing most intense tracer uptake. Another VOI was placed over the occipital lobe representing cortical background. The software automatically placed the same VOIs in the adjacent previous and following slices such that data from 3 contiguous slices were used. Then, the left and right striatum-to-background ratio and  $z$  scores on the caudate, putamen, and striatum were automatically calculated.

We calculated the minimum DaTQUANT  $z$  scores from putamen (right or left side) that showed the best discrimination between patients with autopsy-confirmed LB disease and those without LB disease based on a previous study<sup>12</sup> for statistical analysis.

### **Definition of Abnormality**

Abnormal  $\beta$ -amyloid PET was defined as PiB SUVR  $\geq 1.48$ , as previous described.<sup>20</sup> Abnormal minimum DaTQUANT  $z$  score in putamen was defined as  $< -0.82$ , which was validated in autopsy-confirmed LB disease.<sup>12</sup> Participants were assigned to an A and D biomarker group, with A–D– denoting low PiB SUVR and high putamen  $^{123}\text{I}$ -FP-CIT SPECT uptake, A+D– denoting high PiB SUVR and high putamen  $^{123}\text{I}$ -FP-CIT SPECT uptake, A–D+ denoting low PiB SUVR and low putamen  $^{123}\text{I}$ -FP-CIT SPECT uptake, and A+D+ denoting high PiB SUVR and low putamen  $^{123}\text{I}$ -FP-CIT SPECT uptake.

### **Statistical Analysis**

We report demographic and clinical characteristics for A and D groups using means and SDs for continuous variables, with counts and percentages used for categorical variables. The groups were compared with either a  $t$  test for continuous variables or a  $\chi^2$  test for categorical variables with age adjusted as appropriate. To investigate isolated and synergistic contributions of  $\beta$ -amyloid and  $^{123}\text{I}$ -FP-CIT SPECT biomarkers to the demographics and clinical phenotypes of MCI-LB, we used continuous A and D as predictors in a factorial modeling approach by fitting A, D, and A  $\times$  D interactions in either linear regressions or logistic regression models while adjusting for age. Due to skewness, PiB SUVR was log transformed as a continuous measurement. In addition, we compared the

group difference among the patients with MCI-LB with 1, 2, or  $\geq 3$  core clinical features of DLB using a test for trend in linear regressions. A value of  $p < 0.05$  (2 tailed) was deemed significant in all of these analyses.

### **Data Availability**

Anonymized data will be shared by request from a qualified investigator in accordance with the Mayo ADRC data-sharing protocol.

## **Results**

### **Participant Characteristics**

The demographic and clinical characteristics of patients with MCI-LB and the isolated effects of A+ and D+ biomarkers are demonstrated in table 1. Most of the patients with MCI-LB in this study were male ( $n = 30$ , 88.2%). The mean (SD) MMSE score was 27.6 (1.7), and the mean (SD) score for Clinical Dementia Rating Sum of Boxes was 1.7 (0.8), consistent with the MCI status of study patients. In this MCI-LB sample, RBD was the most common core DLB feature (94.1%), followed by parkinsonism (70.6%) and fluctuations (41.2%), with visual hallucinations the least common core DLB feature (14.7%). In terms of number of core DLB features, 20.6% of the patients with MCI-LB presented with 1 core DLB feature, about half (47.1%) presented with 2 core DLB features, and 32.4% had  $\geq 3$  core DLB features.

### **Positivity of $\beta$ -Amyloid PET**

In this MCI-LB sample, more patients were classified as A– ( $n = 22$ , 64.7%) than A+ ( $n = 12$ , 35.3%), as shown in table 1. The A+ status was strongly modulated by *APOE*  $\epsilon 4$  status ( $p < 0.001$ ) and age ( $p = 0.014$ ), and A+ individuals had lower MMSE scores ( $p = 0.046$ ) than the A– group.

### **Positivity of $^{123}\text{I}$ -FP-CIT SPECT**

In our MCI-LB sample, more patients were classified as D+ ( $n = 22$ , 64.7%) than D– ( $n = 12$ , 35.3%). The D+ subgroup had higher UPDRS-III scores ( $p = 0.019$ ) and were more likely to have RBD ( $p = 0.048$ ) and less likely to have fluctuations ( $p = 0.026$ ) than the D– group. The difference in RBD between D+ and D– groups was not significant after age adjustment ( $p = 1.00$ ), while the difference in fluctuations remained significant after age adjustment ( $p = 0.046$ ).

### **Distribution of $\beta$ -amyloid PET and $^{123}\text{I}$ -FP-CIT SPECT Positivity**

The dichotomized distribution of  $\beta$ -amyloid PET and  $^{123}\text{I}$ -FP-CIT SPECT with individual data points is shown in figure 1. The A–D+ group was the largest group, accounting for 38.2% of the patients with MCI-LB ( $n = 13$ ), followed by A+D+ ( $n = 9$ ) and A–D– ( $n = 9$ ), each of which included 26.5% of the patients with patients with MCI-LB. A+D– was the smallest group, including 8.8% of the patients with MCI-LB ( $n = 3$ ). Representative images of  $\beta$ -amyloid PET and  $^{123}\text{I}$ -FP-CIT SPECT from the 4 A and D groups are shown in figure 2.

**Table 1** Demographic and Clinical Characteristics of Patients With MCI-LB and the Isolated Effects of  $\beta$ -Amyloid and  $^{123}\text{I}$ -FP-CIT SPECT Biomarkers

	A– (n = 22)	A+ (n = 12)	p Value	D– (n = 12)	D+ (n = 22)	p Value
Age, y	65.8 (8.5)	73.2 (6.8)	0.014 <sup>a</sup>	66.8 (11.7)	69.4 (6.6)	0.41
Male sex, n (%)	21 (95)	9 (75)	0.077	12 (100)	18 (82)	0.12
APOE $\epsilon$ 4, n (%)	2 (10)	8 (67)	<0.001 <sup>a</sup>	4 (36)	6 (27)	0.59
Education, y	17.0 (2.6)	16.7 (2.6)	0.76	16.3 (3.0)	17.1 (2.4)	0.40
MMSE score	28.0 (1.6)	26.8 (1.6)	0.046 <sup>a</sup>	28.1 (1.8)	27.3 (1.6)	0.23
CDR Sum of Boxes score	1.8 (0.9)	1.4 (0.7)	0.15	1.8 (1.1)	1.6 (0.7)	0.60
UPDRS-III score	10.8 (9.3)	7.2 (6.4)	0.24	5.0 (5.6)	12.0 (8.8)	0.019 <sup>a</sup>
Visual hallucinations, n (%)	3 (14)	2 (17)	0.81	1 (8)	4 (18)	0.44
Fluctuations, n (%)	11 (50)	3 (25)	0.16	8 (67)	6 (27)	0.026 <sup>a</sup>
Parkinsonism, n (%)	15 (68)	9 (75)	0.68	7 (58)	17 (77)	0.25
pRBD, n (%)	21 (95)	11 (92)	0.65	10 (83)	22 (100)	0.048 <sup>a</sup>
No. of core DLB features, n (%)			0.33			0.49
1	3 (14)	4 (33)		3 (25)	4 (18)	
2	12 (55)	4 (33)		4 (33)	12 (55)	
3 or 4	7 (32)	4 (33)		5 (42)	6 (27)	

Abbreviations: CDR = Clinical Dementia Rating; DLB = dementia with Lewy bodies; MCI-LB = mild cognitive impairment with Lewy bodies; MMSE = Mini-Mental State Examination; pRBD = probable REM sleep behavior disorder; UPDRS-III = Unified Parkinson's Disease Rating Scale Part III; + = abnormal values; – = normal values.

Mean (SD) listed for continuous variables and count (percent) for categorical variables. *p* Values comparing groups are from a *t* test or  $\chi^2$  test.

<sup>a</sup> *p* < 0.05.

### Association With the Demographic Characteristics and Clinical Features in MCI-LB

No significant interactions between A and D were associated with demographic or clinical variables. Table 2 shows the association of  $\beta$ -amyloid PET and  $^{123}\text{I}$ -FP-CIT SPECT biomarkers with the demographic characteristics and clinical features of patients with MCI-LB in models with no interactions. Age and APOE  $\epsilon$ 4 status were associated with the log of global cortical PiB SUVRs but not with putamen DaTQUANT *z* scores. When the clinical features were examined, higher UPDRS-III scores were associated with lower putamen DaTQUANT *z* scores (*p* < 0.001) and lower log of global cortical PiB SUVRs (*p* = 0.037) (figure 3). There were no other associations between these imaging variables and clinical features.

### $\beta$ -Amyloid PET and $^{123}\text{I}$ -FP-CIT SPECT Positivity and the Number of Core DLB Clinical Features

The rate of D+ was 57.1% in patients with MCI-LB with 1 core feature, 75% in MCI-LB with 2 core features, and 54.5% in MCI-LB with 3 or 4 core features. The rate of A+ was 57.1% in MCI-LB with 1 core feature, 25% in MCI-LB with 2 core features, and 36.4% in MCI-LB with 3 or 4 core features. No difference was observed in the global cortical PiB SUVRs or putamen DaTQUANT *z* scores among the patients with MCI-LB and the number of core DLB features (figure 4).

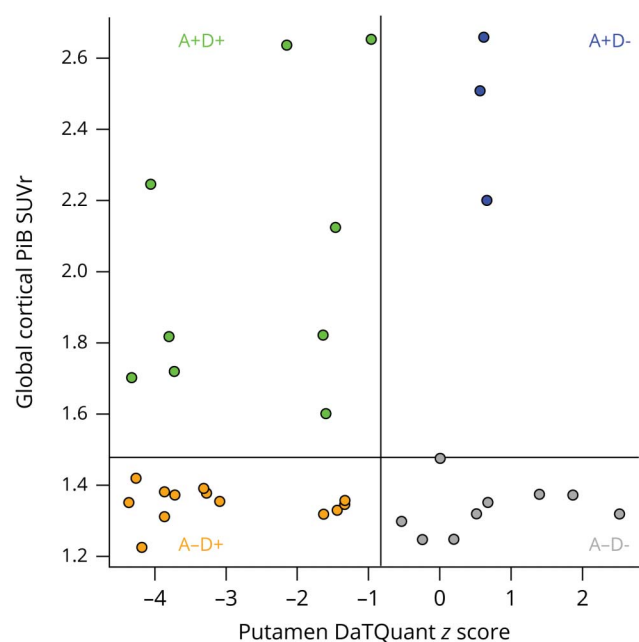
## Discussion

In this study that used  $\beta$ -amyloid PET and  $^{123}\text{I}$ -FP-CIT SPECT biomarkers in MCI-LB, we confirmed the presence and, in some, the coexistence of  $\beta$ -amyloid pathology and Lewy-related pathology. The most common biomarker profile was A–D+ and the least common was A+D–. Approximately one-quarter of the patients with MCI-LB were both A+ and D+. Whereas A+ was associated with older age, APOE  $\epsilon$ 4, and lower MMSE scores, D+ was associated with the most common core clinical features of DLB (RBD, fluctuation, and parkinsonism). Furthermore, lower PiB SUVR and lower putamen DaTQUANT *z* score were additively associated with higher UPDRS-III scores.

In agreement with a previous study,<sup>21</sup> there were more D+ (64.7%) than D– patients with MCI-LB. Our results are also consistent with reports on the high sensitivity and specificity of  $^{123}\text{I}$ -FP-CIT SPECT in distinguishing probable DLB from other dementias,<sup>9</sup> as well as high specificity but relatively lower sensitivity in distinguishing MCI-LB from MCI with Alzheimer disease (AD).<sup>21</sup> Decreased striatal  $^{123}\text{I}$ -FP-CIT SPECT uptake is associated with lower dopaminergic activity due to nigrostriatal degeneration in LB disease.<sup>22</sup> A–D+ was the most common profile in this group of people with MCI-LB, and it likely represented pure Lewy-related pathology<sup>21,23</sup>



**Figure 1** Distribution of Patients With MCI-LB Based on Global Cortical PiB SUVR and Putamen DaT-QUANT z Scores



Scatterplot showing distribution of patients with mild cognitive impairment with Lewy bodies (MCI-LB) into quadrants of global cortical Pittsburgh compound B (PiB) standardized uptake value ratio (SUVR) and putamen DaTQUANT z scores with colored dots. Reference lines were 1.48 for global cortical PiB SUVR and  $-0.82$  for putamen DaTQUANT z scores. Patients with MCI-LB with PiB SUVR  $\geq 1.48$  are identified with abnormal  $\beta$ -amyloid PET (A+). Patients with MCI-LB with putamen DaTQUANT z score  $\leq -0.82$  are IDENTIFIED With abnormal  $^{123}\text{I}$ -FP-CIT SPECT (D+). A-D- = normal  $\beta$ -amyloid PET and normal  $^{123}\text{I}$ -FP-CIT SPECT in gray; A+D- = abnormal  $\beta$ -amyloid PET but normal  $^{123}\text{I}$ -FP-CIT SPECT in blue; A-D+ = normal  $\beta$ -amyloid PET but abnormal  $^{123}\text{I}$ -FP-CIT SPECT in orange, and A+D+ = abnormal  $\beta$ -amyloid PET and abnormal  $^{123}\text{I}$ -FP-CIT SPECT in green.

at the prodromal stage, while A+D+, which was observed in slightly more than a quarter of individuals with MCI-LB, represented coexisting LB disease and  $\beta$ -amyloid pathology. Our data suggest that decreased striatal  $^{123}\text{I}$ -FP-CIT SPECT uptake with low levels of  $\beta$ -amyloid was the most common observation in this cohort of MCI-LB.

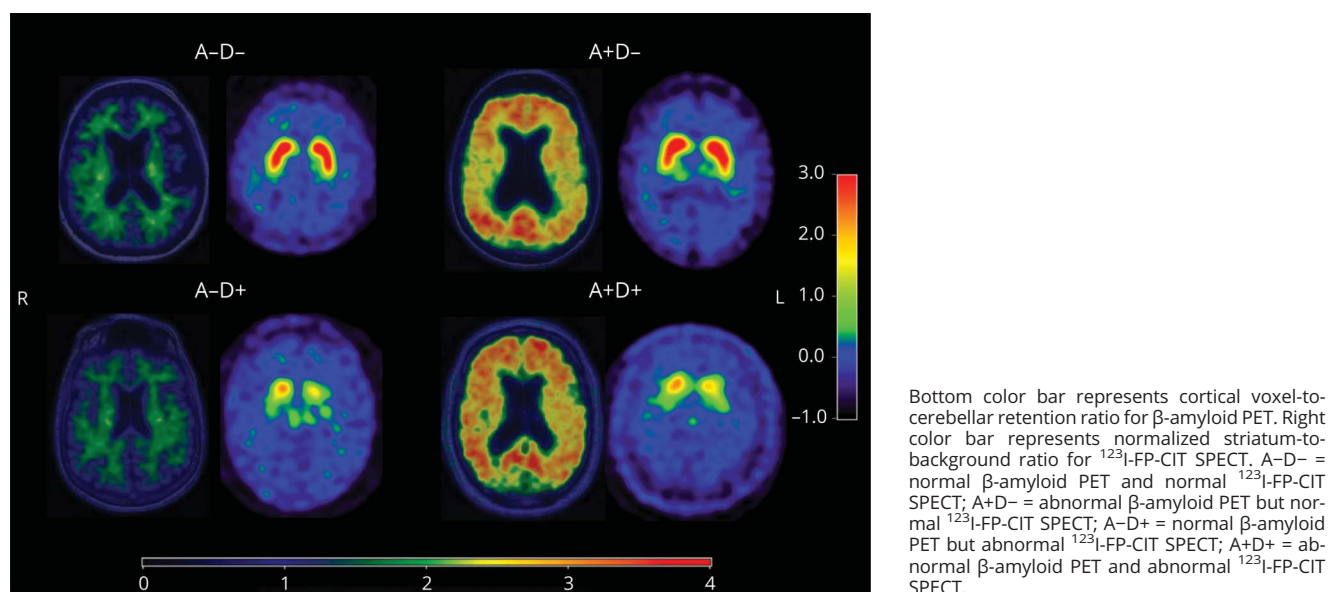
In the current study, most patients with MCI-LB were A- (64.7%), while the rate of A+ was 35.3%. The positivity of  $\beta$ -amyloid in MCI-LB was relatively lower than the ratio reported in probable DLB, which was up to 60% in previous studies.<sup>7,14,24,25</sup> This discrepancy might be due to different stages of brain  $\beta$ -amyloid accumulation over time in patients with probable DLB. Our previous study of longitudinal  $\beta$ -amyloid accumulation by PiB PET demonstrated the trajectories of change in PiB SUVR in patients with mild probable DLB.<sup>26</sup> The trajectory of  $\beta$ -amyloid accumulation is steeper during the earlier stages, followed by a slower accumulation phase. Thus, we expect that many of the A- patients with MCI-LB will become A+ in the short term perhaps as they progress to probable DLB, which needs to be investigated in a future study. Furthermore, PiB, the amyloid

PET tracer, is expected to bind to the  $\beta$ -pleated sheet of the  $\beta$ -amyloid protein present in both neuritic plaques and diffuse plaques in the cortical gray matter and vessel walls.<sup>27-29</sup> However, in autopsy-confirmed patients with probable DLB, PiB SUVR was associated primarily with the severity of diffuse plaques<sup>30</sup> that are abundant in patients with LB disease.<sup>31</sup> Our data confirmed that  $\beta$ -amyloid deposition already existed in about one-third of patients with MCI-LB, lower in frequency than the observations in patients with probable DLB who continue to accumulate  $\beta$ -amyloid as the disease progresses.<sup>26</sup>

As expected, we found that 9 of 12 A+ individuals with MCI-LB were also D+ (A+D+), suggesting the mixed  $\beta$ -amyloid pathology and LB-related pathology account for the majority of A+ patients with MCI-LB, and A+ alone is rare. The relationship between  $\beta$ -amyloid and  $\alpha$ -synuclein pathologies has been investigated in postmortem and in vitro studies,<sup>32,33</sup> which suggested that  $\beta$ -amyloid and  $\alpha$ -synuclein have the capacity to promote each other's aggregation<sup>33,34</sup> and have synergistic toxicity in cells and transgenic mice.<sup>34,35</sup> However, the  $\beta$ -amyloid PET and  $^{123}\text{I}$ -FP-CIT SPECT biomarker profile is relatively less documented in patients with DLB.<sup>36</sup> Our finding of A+D+ frequency in MCI-LB (26.5%) is half the frequency from a recent report (55.6%) of 18 patients within the LB disease spectrum (Parkinson disease, DLB, and Parkinson disease dementia).<sup>36</sup> Whereas biomarker positivity appears to increase with increasing disease severity from MCI to dementia, patients with more core features did not have greater biomarker abnormalities. Thus, the number of core clinical features and imaging biomarkers were independent. Accordingly, the current research criteria for prodromal DLB considers MCI and 1 DLB core clinical feature and biomarker positivity (e.g.,  $^{123}\text{I}$ -FP-CIT SPECT) to be sufficient to represent probable prodromal DLB, emphasizing the complementary role of the diagnostic information from clinical evaluation and biomarkers at the predementia stage.

We note that a subset of patients with MCI-LB had an A-D- (n = 9) and another subset had an A+D+ (n = 9) biomarker profile. This provides imaging evidence of the pathologic heterogeneity that can occur in DLB. It is unclear whether A-D- represents a subgroup without LB disease, a very early stage of MCI-LB, or the subgroup of DLB who do not develop parkinsonism.<sup>23</sup> In a longitudinal  $^{123}\text{I}$ -FP-CIT SPECT study, the initially negative  $^{123}\text{I}$ -FP-CIT SPECT became positive after 1.5 years in 5 of 7 patients with probable DLB.<sup>37</sup> In addition, we note that 1 patient with MCI-LB with an A-D- profile had a borderline PiB SUVR (global cortical PiB SUVR 1.48). The borderline PiB SUVR in patients with DLB was thought to be a marker for sparse or moderate neuritic plaques and low or intermediate likelihood of AD pathology.<sup>14,36</sup> Taken together, although the global cortical PiB SUVR and  $^{123}\text{I}$ -FP-CIT SPECT uptake were classified as positive or negative in this study for diagnostic practicality, it is important to note that  $\beta$ -amyloid and  $\alpha$ -synuclein are continuous pathologic processes in the patients with MCI-LB, and so are PiB SUVR and  $^{123}\text{I}$ -FP-CIT SPECT uptake.

**Figure 2** Illustrative Images



Patients with MCI-LB and an A+D- profile made up the smallest group in our study. Only 3 patients were A+ alone, and all were *APOE*  $\epsilon 4$  carriers and >76 years of age. All had PiB SUVR >2.0, strongly above the positivity threshold (1.48), suggesting that their A+ status was not due to any artifacts such as bleed-in from off-target white matter binding that can produce slightly suprathreshold SUVR values.<sup>30</sup> One patient presented with only probable RBD, 1 with only mild

parkinsonism, and 1 with probable RBD and parkinsonism. In these patients, it is possible that  $\beta$ -amyloid pathology was present earlier in the evolution of LB-related pathologic processes and AD pathology was the underlying etiology for MCI, potentially with concomitant LB-related pathology at an early stage. Whereas we used a cut point for  $^{123}\text{I}$ -FP-CIT SPECT that was validated in an autopsy-confirmed LB disease, it is possible that the nigrostriatal LB burden was too low

**Table 2** Association of Clinical Phenotypes With  $\beta$ -Amyloid PET and  $^{123}\text{I}$ -FP-CIT SPECT in Patients With MCI-LB

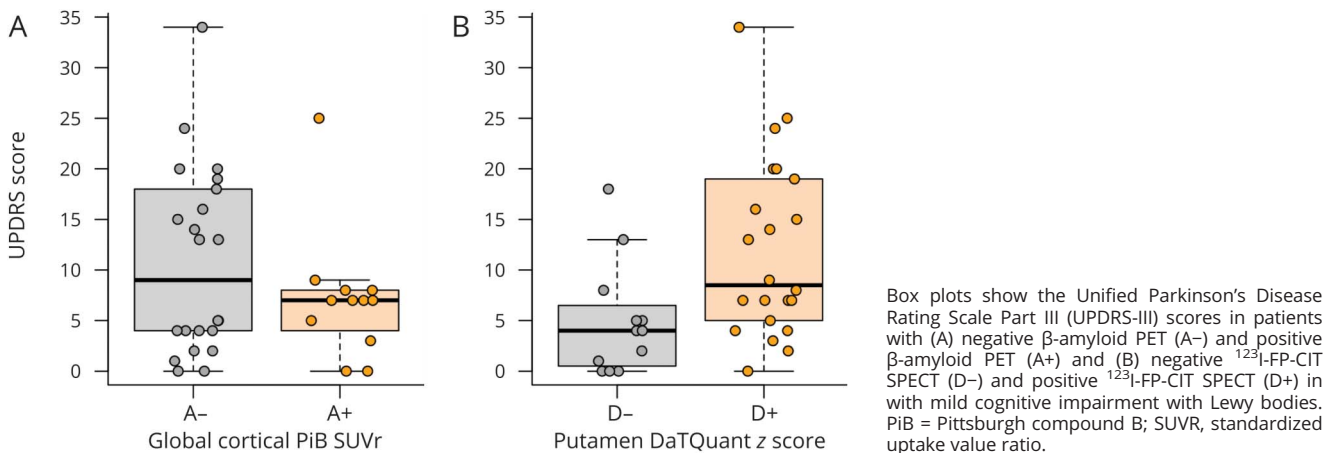
	Log Amyloid Estimate	Log Amyloid <i>p</i> Value	Putamen DaT Estimate	Putamen DaT <i>p</i> Value
<b>Age</b>	17.97 (6.96, 28.97)	0.002 <sup>a</sup>	0.22 (-1.1, 1.55)	0.73
<b>Male sex</b>	-4.02 (-9.84, 1.81)	0.18	1.05 (-0.28, 2.37)	0.12
<b><i>APOE</i> <math>\epsilon 4</math></b>	9.35 (3.04, 15.65)	0.004 <sup>a</sup>	-0.03 (-0.53, 0.48)	0.92
<b>Education</b>	-0.7 (-5.08, 3.67)	0.74	-0.27 (-0.72, 0.18)	0.23
<b>MMSE score</b>	-2.13 (-4.66, 0.39)	0.095	0.12 (-0.14, 0.39)	0.35
<b>CDR Sum of Boxes score</b>	-0.28 (-1.65, 1.09)	0.68	-0.06 (-0.2, 0.09)	0.43
<b>UPDRS-III score</b>	-12.11 (-23.43, -0.8)	0.037 <sup>a</sup>	-2.31 (-3.48, -1.14)	<0.001 <sup>a</sup>
<b>Visual hallucinations</b>	5.15 (-0.88, 11.19)	0.094	-0.33 (-0.9, 0.25)	0.26
<b>Fluctuations</b>	-0.12 (-3.72, 3.48)	0.95	0.21 (-0.16, 0.58)	0.26
<b>Parkinsonism</b>	0.91 (-2.91, 4.73)	0.64	-0.33 (-0.72, 0.07)	0.10
<b>pRBD</b>	-7.76 (-19.86, 4.34)	0.21	-2.1 (-5.41, 1.22)	0.22

Abbreviations: DaT = DaTQUANT; MCI-LB = mild cognitive impairment with Lewy bodies; MMSE = Mini-Mental State Examination; pRBD = probable REM sleep behavior disorder; UPDRS-III = Unified Parkinson's Disease Rating Scale Part III.

Regression coefficients, associated confidence interval, and *p* values are from either a linear regression or a logistic regression model with age adjustment when appropriate.

<sup>a</sup> *p* < 0.05.

**Figure 3** Box Plots of UPDRS-III Scores in A and D Groups



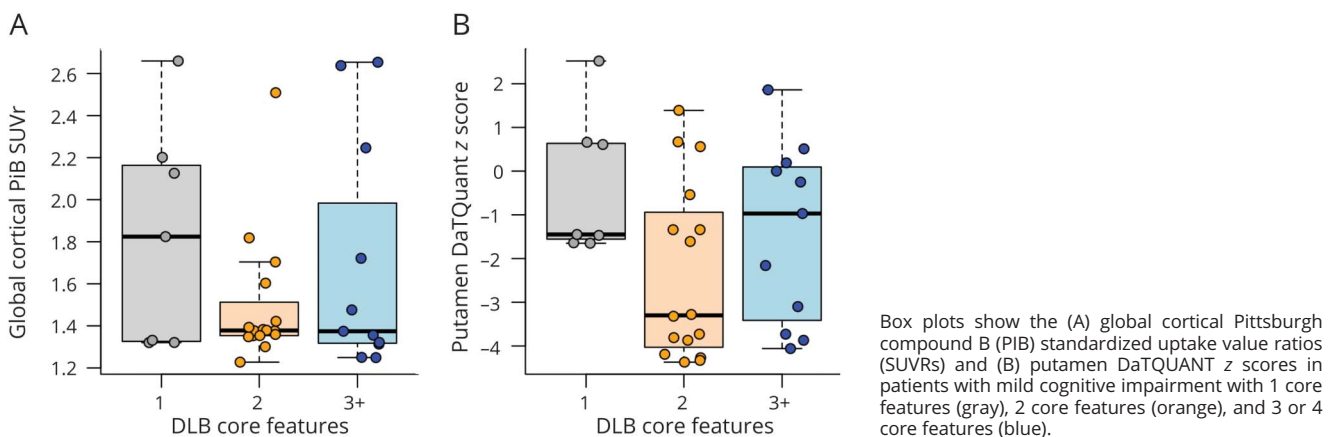
in these A+D- patients with MCI-LB to be detected by the  $^{123}\text{I}$ -FP-CIT SPECT. Longitudinal assessment of these patients may clarify the possible presence of early LB pathology.

Our data did not show evidence that PiB PET and  $^{123}\text{I}$ -FP-CIT SPECT interact with each other when modeling associations of these biomarkers with cognitive performance or core clinical features of DLB. We found that A+ was associated with worse cognitive impairment measured with the MMSE. The association of  $\beta$ -amyloid and MMSE score is a frequent finding in probable DLB<sup>38</sup> and MCI,<sup>39</sup> although no relationships have been reported.<sup>14,40,41</sup> Furthermore,  $\beta$ -amyloid biomarkers in the CSF were reported to be associated with worse outcome in patients with DLB.<sup>41</sup> In addition, the coexistence of  $\beta$ -amyloid biomarkers indicated a poorer response to treatment in patients with DLB,<sup>42</sup> suggesting that  $\beta$ -amyloid biomarkers may aid in predicting prognosis in DLB. Consistent with previous findings in DLB,<sup>43,44</sup> D+ was associated with the severity of parkinsonism in patients with

MCI-LB. We found that D+ patients with MCI-LB had a higher frequency of probable RBD, but the result is not significant after age adjustment, suggesting that the higher frequency of probable RBD may be related to the relatively older age of patients with MCI-LB with D+. A counterintuitive finding was that fluctuations were less common in D+ compared to D- patients. This finding requires further investigation in a larger sample.

As expected, lower putamen z scores were associated with higher UPDRS-III scores, suggesting that nigrostriatal dopaminergic deficits are directly associated with worse motor impairment. On the contrary, greater  $\beta$ -amyloid deposition was associated with less motor impairment, likely due to lower levels of nigrostriatal LB pathology in patients with high  $\beta$ -amyloid as they transition through MCI. In other words, this association we observed may be dependent on survivor bias, which may have led those with both a high amount of  $\beta$ -amyloid and motor impairment due to LB to transition to

**Figure 4** Box Plots of Global Cortical PiB SUVRs and Putamen DaTQUANT z Scores Across 3 Groups



dementia rather than remain as MCI. However, we did not find that the global PiB SUVRs and putamen  $z$  scores interacted in their association with the UPDRS-III scores; therefore, these relationships between biomarkers of AD and LB-related pathology with motor impairment were best described as additive.

Our study demonstrates the frequency of  $\beta$ -amyloid and  $^{123}\text{I}$ -FP-CIT SPECT biomarker positivity and several associating features with the biomarkers in prodromal DLB. The potential limitation of the study was a relatively small sample size. As expected, most of the patients in our study were men; therefore, the power to investigate the biomarker profiles was limited in women with MCI-LB. Nonetheless, most female patients with MCI-LB had an A+D+ profile, which is consistent with previous findings that women with LB-related pathology are more like to have mixed LB and AD-related pathology.<sup>30</sup> In addition, we used cutoff values of the A and D biomarkers that were previously validated by autopsy in patients with probable DLB. LB pathology may be too mild at the prodromal stage compared to that found at the dementia stage; therefore, we may have labeled those with low levels of LB pathology as D- in the MCI-LB cohort. Our data are cross-sectional and cannot provide information on the temporal evolution of biomarkers or cause and effect in patients with MCI-LB. In addition, other imaging biomarkers such as hippocampal volumes have been associated with clinical features in patients with MCI-LB. Whereas preserved hippocampal volumes in patients with MCI may be predictive of progression to DLB vs AD,<sup>45</sup> patients with MCI with preserved hippocampal volumes who progress to probable DLB also survive longer.<sup>46</sup> Further investigations in a larger cohort and a longitudinal design to investigate the trajectory of multiple imaging biomarkers in association with disease progression from very early stage to overt dementia stage in patients with DLB are needed.

Patients with MCI who have core clinical features of DLB have imaging biomarker abnormalities that are associated with clinical phenotypes.<sup>123</sup>I-FP-CIT SPECT biomarker positivity was associated with the core clinical features of DLB, suggesting that  $^{123}\text{I}$ -FP-CIT SPECT biomarker is a strong predictor of DLB at the prodromal stage. Association of A+ with worse cognitive function suggests that targeting  $\beta$ -amyloid deposition during the prodromal stage of DLB in a subset of patients who are A+ may be a potential strategy for slowing the progression from prodromal DLB to overt DLB.

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### Appendix (continued)

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