Tau imaging detects distinctive distribution of tau pathology in ALS/PDC on the Kii Peninsula

Hitoshi Shinotoh, MD, PhD, Hitoshi Shimada, MD, PhD, Yasumasa Kokubo, MD, PhD, Kenji Tagai, MD, Fumitoshi Niwa, MD, PhD, Soichiro Kitamura, MD, PhD, Hironobu Endo, MD, PhD, Maiko Ono, PhD, Yasuyuki Kimura, MD, PhD, Shigeki Hirano, MD, PhD, Maya Mimuro, MD, PhD, Masanori Ichise, MD, PhD, Naruhiko Sahara, PhD, Ming-Rong Zhang, PhD, Tetsuya Suhara, MD, PhD, and Makoto Higuchi, MD, PhD

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Abstract

Objective

To characterize the distribution of tau pathology in patients with amyotrophic lateral sclerosis/ parkinsonism dementia complex on the Kii Peninsula (Kii ALS/PDC) by tau PET using $\left[^{11}C\right]$ PBB3 as ligand.

Methods

This is a cross-sectional study of 5 patients with ALS/PDC and one asymptomatic participant with a dense family history of ALS/PDC from the Kii Peninsula who took part in this study. All were men, and their age was 76 ± 8 (mean \pm SD) years. Thirteen healthy men (69 \pm 6 years) participated as healthy controls (HCs). Dynamic PET scans were performed following injection of $\binom{11}{1}$ PBB3, and parametric PET images were generated by voxel-by-voxel calculation of binding potential (BP_{ND}^*) using a multilinear reference tissue model. $[{}^{11}C]$ Pittsburgh compound B (PiB) PET, MRI, and cognitive tests were also performed.

Results

A voxel-based comparison of $[^{11}C]$ PBB3 $BP*_{ND}$ illustrated PET-detectable tau deposition in the cerebral cortex and white matter, and pontine basis including the corticospinal tract in Kii ALS/PDC patients compared with HCs (uncorrected $p < 0.05$). Group-wise volume of interest analysis of $\binom{11}{ }CPBB3$ $BP*_{ND}$ images showed increased $BP*_{ND}$ in the hippocampus and in frontal and parietal white matters of Kii ALS/PDC patients relative to HCs ($p < 0.05$, Holm-Sidak multiple comparisons test). BP^*_{ND} in frontal, temporal, and parietal gray matters correlated with Mini-Mental State Examination scores in Kii ALS/PDC patients ($p < 0.05$). All Kii ALS/PDC patients were negative for $\left[{}^{11}C\right]$ PiB (β -amyloid) except one with marginal positivity.

Conclusion

[¹¹C]PBB3 PET visualized the characteristic topography of tau pathology in Kii ALS/PDC, corresponding to clinical phenotypes of this disease.

Correspondence

Dr. Shinotoh hitoshi.shinoto@nifty.com or Dr. Kokubo ktyktykty@me.com

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From the Departments of Functional Brain Imaging Research (H. Shinotoh, H. Shimada, K.T., S.K., M.O., Y. Kimura, S.H., M.I., N.S., T.S., M.H.) and Radiopharmaceuticals Development (M.-R.Z.), National Institute of Radiological Sciences, National Institutes for Quantum and Radiological Science and Technology, Chiba; Neurology Clinic Chiba (H. Shinotoh); Kii ALS/ PDC Research Center (Y. Kokubo), Mie University; Department of Neurology and Gerontology (F.N.), Graduate School of Medical Science, Kyoto Prefectural University of Medicine; Department of Psychiatry (S.K.), Nara Medical University; Division of Neurology (H.E.), Kobe University Graduate School of Medicine, Hyogo; Center for Development of Advanced Medicine for Dementia, Department of Neurology (Y. Kimura), National Institute for Geriatrics and Gerontology, Aichi; Department of Neurology (S.H.), Chiba University; and Department of Neuropathology (M.M.), Institute for Medical Science of Aging, Aichi Medical University, Japan.

Glossary

 $A\beta = \beta$ -amyloid; $A D = A$ lzheimer disease; $A L S =$ amyotrophic lateral sclerosis; $[^{11}C]PBB3 = 2-([1E,3E] - 4-[6-([11C]$ methylamino)pyridin-3-yl]buta-1,3-dienyl)benzo[d]thiazol-6-ol; CDR = Clinical Dementia Rating; FAB = Frontal Assessment Battery; HC = healthy control; MAO-B = monoamine oxidase B; MMSE = Mini-Mental State Examination; NFT = neurofibrillary tangle; NPI = Neuropsychological Inventory; PDC = parkinsonism dementia complex; PiB = Pittsburgh compound B; SOB = sum of boxes; SUVR = standardized uptake value ratio; UPDRS = Unified Parkinson's Disease Rating Scale; VOI = volume of interest.

The Kii Peninsula of Japan is a high-incidence foci of amyotrophic lateral sclerosis/parkinsonism dementia complex (ALS/PDC), similar to the island of Guam.¹ ALS and PDC clinically occur separately or in combination, and most investigators consider ALS and PDC to be different manifestations of a single disease entity.^{1–3} The neuropathologic hallmarks of ALS/PDC of Kii are widespread neurofibrillary tangles (NFTs) and neuropil threads, most predominantly in medial temporal and frontal cortices, and less in other cortices, subcortical nuclei, brainstem, and spinal cord. $1-3$ Taupositive astrocytes were also focally present in white matter.³ NFTs in Kii ALS/PDC are ultrastructurally characterized as helical filaments composed of all 6 tau isoforms, similar to those in Alzheimer disease (AD) and Guamanian ALS/ PDC.^{1,4,5} Kii ALS/PDC differs from AD because of different NFT distribution and lack of abundant senile plaque in brain. $1-3$

Genetic and environmental factors are implicated in Kii ALS/ PDC pathogenesis.⁶ The high-incidence foci of ALS/PDC on the Kii Peninsula may provide a clue to the pathogenesis of this and other related neurodegenerative disorders. It would be particularly important to clarify the amount and spatial extent of tau pathology among living Kii patients with ALS/ PDC to identify modifiers of tau fibril formation and dissemination.

We developed 2- $([1E,3E]$ -4-[6- $([11C]$ methylamino)pyridin-3-yl]buta-1,3-dienyl)benzo[d]thiazol-6-ol $([$ ¹¹C]PBB3) as a PET imaging agent for pathologic tau lesions, and have successfully imaged tau aggregates in patients with AD and a patient with corticobasal syndrome by $[^{11}C]$ PBB3 PET.^{7,8} Based on this in vivo imaging technology, the present study aims to characterize the distribution of tau aggregates in relation to clinical phenotypes of Kii ALS/PDC.

Methods

Five patients with $ALS/PDC⁹$ and one asymptomatic participant with a dense family history of ALS/PDC from the Kii Peninsula took part in this study. Thirteen healthy men (69 ± 6 years old) participated as healthy controls (old HCs). All participants underwent neuropsychological assessments, MRI, and PET scans with $\left[{}^{11}C \right]$ PBB3 for tau imaging, and with $\left[\begin{smallmatrix}11\\1\end{smallmatrix}C\right]$ Pittsburgh compound B (PiB) for β-amyloid (Aβ) imaging. Details of the methods are presented in appendix 1.

Results

Demographics

Demographics of Kii ALS/PDC patients and old HCs are summarized in the table. Six Kii ALS/PD patients consisted of 1 asymptomatic participant, 4 ALS/PDC patients, and 1 PDC patient. There was no pure ALS patient. There was no notable age difference between the 6 Kii ALS/PD patients and the 13 old HCs. Years of education of Kii ALS/PDC patients were less than those of old HCs ($p < 0.01$). Kii ALS/PDC patients presented lower Mini-Mental State Examination (MMSE)¹⁰ and Frontal Assessment Battery (FAB) scores, 11 and higher Clinical Dementia Rating (CDR) sum of boxes $(SOB)¹²$ Neuropsychological Inventory (NPI) ,¹³ and Unified Parkinson's Disease Rating Scale (UPDRS) motor scores,¹⁴ than old HCs $(p < 0.01)$.

PET imaging

[11C]PBB3 binding was increased in characteristic brain regions of Kii ALS/PDC patients compared with old HCs (figures 1–4). SPM12 (Wellcome Department of Cognitive Neurology, London, UK) analysis of the asymptomatic participant (patient 1) vs the 13 old HCs showed regions with increased $[$ ¹¹C]PBB3 binding potential $(BP[*]_{ND})$ in cerebral gray and subcortical white matter and the pontine base of this individual (figure 2). In patient 2, $\binom{11}{1}$ PBB3 BP^{*}_{ND} was increased in the deep cerebral white matter and brainstem, including the corticospinal tract, 15 rather than neocortical gray matter areas. Noticeable increases of $[^{11}C]$ PBB3 BP^*_{ND} were more extensively observed in cerebral gray and white matter regions, brainstem, and cerebellum of patients 3, 5, and 6. There was a trend of increased BP_{ND}^* in the brain associated with higher CDR SOB, but patient 4 was an outlier with only modest increase of $\binom{11}{ }$ C]PBB3 BP^{*}_{ND} in the brain compared with patients 3, 5, and 6. High BP^*_{ND} was additionally seen in the striatum of patients 3 and 6 (figure 2). Group-wise 2-sample t test by SPM demonstrated increased $\left[$ ¹¹C]PBB3 BP^{*}ND</sup> in cerebral gray and subcortical white matter, deep white matter including the corticospinal tract, pontine basis, and cerebellum of Kii ALS/PDC patients compared with old HCs (figure 3).

In a group-wise volume of interest (VOI) analysis of $\left[$ ¹¹C] PBB3 PET data, $BP*_{ND}$ for this radioligand was higher in the hippocampus and in frontal and parietal white matter of Kii ALS/PDC patients than in old HCs (figure 4). In Kii ALS/PDC patients, MMSE scores were positively correlated with $BP*_{ND}$ in frontal ($r_s = -0.886$, $p < 0.05$), temporal ($r_s = -0.943$, $p < 0.05$),

Table Demographics of Kii ALS/PDC patients and old HCs

Abbreviations: ALS = amyotrophic lateral sclerosis; CDR-SOB = Clinical Dementia Rating Scale sum of boxes; FAB = Frontal Assessment Battery; HC = healthy control; MMSE = Mini-Mental State Examination score; NPI =
Neuropsyc Parkinson'^s Disease Rating Scale.

Patients are presented in the order of sum of boxes. $1 = none$, $+ = mild$, $++ = moderate$, $++ = severe$.

 $^{\rm a}$ p < 0.01 compared with 13 old HCs.

Figure 1 Representative $[{}^{11}C]$ PBB3 binding potential ($BP*_{ND}$) PET images

PET images in the upper row are of a 78-year-old Kii amyotrophic lateral sclerosis (ALS)/parkinsonism dementia complex (PDC) patient (case 4) and those in the lower row are of a 76-year-old healthy control (HC). Two trans-axial and one coronal PET image are displayed in the upper and lower rows. Extensive regions with high BP^*_{ND} are seen in the cerebral cortex in the Kii ALS/PDC patient compared with HC. There are voxels with high BP^*_{ND} in the superior sagittal sinuses of the Kii ALS/PDC patient and the HC, which are thought to be off-target binding to venous sinuses.⁷ [''C]PBB3 = 2-([1*E*,3*E*]-4-[6-([''C]methyla
3-yl]buta-1,3-dienyl)benzo[*d*]thiazol-6-ol. 1 C]PBB3 = 2-([1*E*,3*E*]-4-[6-([¹¹C]methylamino)pyridin-

and parietal gray matter ($r_s = 0.943$, $p < 0.05$) by Spearman correlational analysis. NPI scores were also correlated with $BP*_{ND}$ in frontal gray matter $(r_s = 0.886, p < 0.05)$. There was no correlation between CDR-SOB, FAB scores, UPDRS motor scores, and BP_{ND}^* in any regions of these patients.

[11C]PiB PET images were negative in the 6 Kii ALS/PDC patients except for patient 4, who showed marginal positivity. All HCs were PiB-negative, and there was no difference in [11C]PiB between Kii ALS/PDC patients and old HCs (table).

Figure 2 Increase of $[1^1C]$ PBB3 binding potential ($BP*_{ND}$) in each of the Kii amyotrophic lateral sclerosis/parkinsonism dementia complex patients compared with old healthy controls

Two axial images ($z = 76$, 108), a coronal image (y = 120), and a sagittal image (x = 91) were displayed on an MRI template (ch2bet.nii.gz in MRIcron) in each patient. Yellow indicates voxels at $p < 0.05$ (uncorrected, >50 voxels) and red indicates voxels at $p < 0.01$ (uncorrected, >50 voxels).[¹¹C]PBB3 = 2-([1*E*,3*E*]-4-[6-([¹¹C]methylamino)pyridin-3-yl]buta-1,3-dienyl)benzo $[d]$ thiazol-6-ol; CDR = Clinical Dementia Rating; SOB = sum of boxes.

Figure 3 Increase of [¹¹C]PBB3 binding potential ($BP*_{ND}$) in 6 Kii amyotrophic lateral sclerosis (ALS)/parkinsonism dementia complex (PDC) patients compared with 13 old healthy controls (HCs)

Seven trans-axial slices (z = 43, 55, 67, 79, 91, 103, 115 mm) are displayed. Yellow indicates voxels at p < 0.05 (uncorrected, >50 voxels) and red indicates voxels at p < 0.01 (uncorrected, >50 voxels).[11C]PBB3 = 2-([1E,3E]-4-[6-([11C]methylamino)pyridin-3-yl]buta-1,3-dienyl)benzo[d]thiazol-6-ol.

T1-weighted MRI of patient 1 was normal. T1-weighted MRI of patients 2–6 showed mild to moderate frontal, temporal, and parietal atrophy (figure 5).

Kii ALS/PDC brain tissue staining

Numerous NFTs and neuropil threads in the CA1 sector of the hippocampus were found to be positive for PBB3 and Gallyas-Braak silver staining in a histochemical analysis of the first series brain sections derived from 3 Kii ALS/PDC patients (CA1 of 65M is shown in figure 6A). However, the majority of NFTs in this area were negative for AT8, and accordingly were conceived to be extracellular ghost tangles.^{16,17} Moreover, tau aggregates in neuronal somas and putative axons were observed in the motor cortex as inclusions triply labeled with PBB3, AT8, and Gallyas-Braak silver

staining (pathologies in the motor cortex of 63F shown in figure 6B). Axonal threads were triply stained in white matter adjacent to the cortex of 2 patients (77M and 63F; figure 6, C and D). Immunoreactive tau aggregates in neuronal somas and neurites were also noted in the striatum (staining in the posterior dorsal putamen of 63F is shown in figure 6E), but most of these tau aggregates was only modestly labeled with PBB3 and Gallyas-Braak silver staining, suggesting a relatively low packing density of these fibrillary assemblies.¹

In the second series of specimens, PBB3-, AT8-, and Gallyas-Braak-positive tau aggregates were found as astrocytic plaquelike inclusions in gray matter (pathologies in the premotor cortex of 71F is shown in figure 6F) and axonal threads in

 $*_p$ < 0.05, $*_p$ < 0.005 by Holm-Sidak multiple comparisons test. Regions highlighted in bold type indicate those with differences in $BP*_{\mathsf{ND}}$ between old
HCs and Kii ALS/PDC patients.[¹¹C]PBB3 = 2-([1*E*,3*E*]-4-[6-([11C]methylamino)pyridin-3-yl]buta-1,3-dienyl) benzo[d]thiazol-6-ol; GM = gray matter; WM = white matter.

Figure 5 Brain T1-weighted MRI of Kii amyotrophic lateral sclerosis/parkinsonism dementia complex patients

white matter (pathologies in subcortical white matter of 70F is shown in figure 6G). Triple labeling of tau pathologies was also detected as lesions resembling oligodendrocytic coiled bodies beside axonal threads in white matter (staining in the posterior limb of the internal capsule of 71F is shown in figure 6H). These findings suggest that increased radioactivity retention in $[$ ¹¹C]PBB3 PET is attributable to radioligand binding to 4-repeat tau deposits in gray and white matter of a small subset of Kii ALS/PDC patients.

Discussion

 $\left[\begin{smallmatrix} 11\\ \end{smallmatrix} \right]$ PBB3 PET demonstrated increased radioligand $BP_{\color{red} \text{ND}}^*$ which is indicative of tau accumulation, in the brain of all 6 Kii ALS/PDC patients compared with 13 old HCs. Even in the asymptomatic patient (patient 1), $[$ ¹¹C]PBB3 binding was enhanced in gray matter of the cerebral cortex including the medial temporal lobe, subcortical white matter, and pontine base. Interestingly, a Kii ALS/PDC patient (patient 2), who exhibited prominent upper motor neuron signs, had increased containing the corticospinal tract. There were more widespread regions with increased tau accumulation involving cortical and subcortical gray matter, white matter, and brainstem in Kii ALS/PDC patients with dementia (patients 3, 5, and 6). These findings suggest intimate associations between the topology of tau depositions and impairments of regional functions in the Kii ALS/PDC brains, implying that tau-induced neurotoxicity leads to deterioration of local neurons. This notion is supported by the present neuropathologic examination demonstrating abundant ghost tangles in the hippocampus of the patients.

tau accumulation in deep white matter and pontine base

There was a trend of increased tau accumulation in the brains of Kii ALS/PDC patients in association with CDR-SOB. However, patient 4 was an outlier with only modest increase of tau accumulation in the brain, and was also marginally positive for Aβ. The patient was born in the south part of the Kii peninsula, moved elsewhere at age 3, and developed symptoms 73 years later.¹⁸ Relatively low tau accumulation in the brain of this patient despite CDR-SOB 3 could be

Figure 6 PBB3 fluorescent, AT8, and Gallyas-Braak (GB) staining in postmortem brains with Kii amyotrophic lateral sclerosis (ALS)/parkinsonism dementia complex (PDC) in the first (A–E) and second (F–H) series

In the first series samples, numerous neurofibrillary tangles (NFTs) are positive for PBB3 and GB staining in the CA1 sector of the hippocampus, although the majority of NFTs in this area were negative for AT8 (A), in agreement with histopathologic features of extracellular ghost tangles. Tau aggregates in neuronal somas and putative axons were observed in the motor cortex as inclusions labeled with PBB3, AT8, and GB staining (B). Axonal threads in white matter
adjacent to the cortex of 2 patients are labeled with PBB3, AT8, and GB s somas and neurites clearly labeled with AT8 but only partly labeled with PBB3 and GB staining (E), indicating the presence of tau deposits with relatively lower packing densities similar to pretangles. In the second series samples, astrocytic plaque-like inclusions are labeled with PBB3, AT8, and GB staining in the premotor cortex (F). Axonal threads are labeled with PBB3, AT8, and GB staining in white matter adjacent to the premotor cortex (G). Tau aggregates resembling oligodendrocytic coiled bodies beside axonal threads are labeled with PBB3, AT8, and GB staining in the posterior limb of the internal capsule (white matter) (H). Red arrowheads indicate tau aggregates. [11C]PBB3 = 2-([1E,3E]-4-[6-([11C]methylamino)pyridin-3-yl]buta-1,3-dienyl)benzo[d]thiazol-6-ol.

attributed to the shortest stay in the endemic area, and the longest incubation to develop the disease, and functional deficits in the brain of this patient may also result from accumulation of other pathogenetic proteins including Aβ.

The distribution of tau accumulation was rather scattered and variable among Kii ALS/PDC patients. A previous neuropathologic study showed that NFTs tended to appear discontinuously and nonsystematically in Kii ALS/PDC .³ This was in contrast to the neuropathologic features of AD, in which NFTs are confined to gray matter, and spread systemically according to Braak histopathologic stages.^{7,8,19} VOI analysis of $\left[$ ¹¹C]PBB3 PET showed that tau aggregates were preferentially accumulated in the hippocampus and in frontal and parietal white matter in the 6 Kii ALS/PDC patients compared with the 13 old HCs, although voxel-wise SPM analysis did not reveal tau accumulation in the hippocampus

of these patients. This discrepancy between VOI and voxelwise analyses might be explained by the diversity of the subregional distributions of tau aggregates in the hippocampus among the 6 patients.

Previous pathologic studies established that NFTs and neuropil threads are the main pathology of Kii ALS/PDC.¹⁻³ In addition, tau-positive astrocytes are also found predominantly in subpial and perivascular areas and are focally seen in the white matter of all patients with Kii ALS/PDC .³ Our brain tissue staining study demonstrated that tau-positive threads in white matter are stained with PBB3 in all examined patients, although tau-positive astrocytes are stained with PBB3 only in a subpopulation of Kii ALS/PDC patients with corticobasal degeneration–like findings such as depositions resembling astrocytic plaques. The present PET study showed high $\binom{11}{1}$ PBB3 binding in white matter of the Kii ALS/PDC patients by VOI analysis. Voxel-based analysis of $\lceil {}^{11}C \rceil$ PBB3 parametric images showed increased $[$ ¹¹C]PBB3 binding in not only subcortical white matter but also in deep white matter. Taken together, high $\lceil {}^{11}C \rceil$ PBB3 binding in white matter should at least partly reflect tau pathologies in the white matter of Kii ALS/PDC patients, although it could also be attributed to the tau pathologies in cortical gray matter around the depth of a sulcus and gray–white boundary in the present image analysis, due to relatively low spatial resolution of PET data. Our preliminary histochemical assessments of brain sections indicated that the density of tau aggregates in gray matter in the vicinity of white matter is more than 10-fold higher than the density of tau-positive threads in white matter. Hence, [11C]PBB3-PET signals in superficial white matter might be largely affected by tau deposits in deep gray matter. Another potential technical issue may be the possible binding of the radioligand to off-target components in white matter, as exemplified by cross-reactivity of THK-5,351 with monoamine oxidase B (MAO-B) expressed in activated astrocytes.²⁰ However, our recent data have proven that PBB3 does not bind to MAO-B.²¹ Hence, PET imaging with $\left[$ ¹¹C] PBB3 could offer an in vivo technology to capture AD-like and CBD-like heterogeneous tau pathologies in Kii ALS/PDC.

The present results suggest that increased tau accumulation in gray matter of the frontal, temporal, and parietal lobes leads to cognitive deficits in Kii ALS/PDC, as assessed by MMSE. There was a correlation between tau accumulation in frontal gray matter and NPI scores, suggesting that tau accumulation also may contribute to neuropsychiatric symptoms such as apathy and irritability in Kii ALS/PDC patients.

Neuropathologic studies of Kii ALS/PDC patients showed that there were various types of phosphorylated α-synucleinpositive lesions including neuronal cytoplasmic inclusions, dystrophic neurites, and glial cytoplasmic inclusions.^{3,22} Phosphorylated α-synuclein was distributed mainly in the limbic system and brainstem of Kii ALS/PDC brains,^{3,22} while tau pathology is more prevalent than α-synuclein pathology in most affected areas.²² Furthermore, our previous study demonstrated that PBB3 binding to α-synuclein deposits is 10–50 times less than to tau deposits. 23 Therefore, the increase of $\binom{11}{ }$ C]PBB3 binding in the present study was mostly attributable to tau pathology in Kii ALS/PDC patients.

Only 2 (patients 3 and 6) of 5 Kii ALS/PDC patients with parkinsonism showed high radioligand binding in the striatum and midbrain including the substantia nigra in comparison with old HCs. Parkinsonism could result from neuronal loss in the substantia nigra induced by tau aggregates with or without α-synuclein deposits in these Kii ALS/PDC patients, as Lewy body pathology is often found in the substantia nigra of Kii ALS/PDC brains.³ However, it should be noted that Lewy bodies, and Lewy neurites in dementia with Lewy bodies, are thought to be undetectable in vivo by $\left[$ ¹¹C]PBB3 PET according to our previous data.²³

In addition to tau and α-synuclein depositions, TDP-43 pathology is noted in the brain and spinal cord of patients with Kii ALS/PDC, similar to sporadic ALS.³ It has been reported that a patient with progressive supranuclear palsy phenotype associated with DCTN1 mutation showed increased $\binom{11}{1}$ PBB3 binding in basal ganglia and parietal lobe.²⁴ Mutations of the DCTN1 gene have been mostly associated with ALS and Perry syndrome, and one of the neuropathologic features of Perry syndrome is the accumulation of ubiquitinated TDP-43-positive neuronal inclusions, dystrophic neurites, glial cytoplasmic inclusions, and axonal spheroids. However, there have been no reports documenting the histopathology of progressive supranuclear palsy phenotype associated with DCTN1 mutation, and therefore the cross-reactivity of $\lceil {}^{11}C \rceil$ PBB3 with TDP-43 lesions remains to be determined.

There are a few technical considerations related to the quantification of radioligand binding in this study. SUVR, which is a target to reference the tissue radioactivity ratio at a near-equilibrium state of tracer kinetics, is usually used as an in vivo index of amyloid and tau accumulations. We calculated $BP*_{ND}$ of $[{}^{11}C]$ PBB3 in the kinetic model instead of with SUVR,²⁵ although this requires dynamic PET scans for several 10-minute periods. The advantage of BP^*_{ND} over SUVR as an index of radioligand binding is that $BP*_{ND}$ is minimally affected by changes in cerebral blood flow. Moreover, the cerebellum is usually used as reference tissue, because this area lacks amyloid and tau pathologies in AD. In contrast, a recent neuropathologic study showed that phosphorylated tau pathology of various types is present in the dentate nucleus and Purkinje and glial cells in the cerebellum of patients with Kii ALS/PDC.²⁶ Therefore, we used gray matter voxels, which have a low likelihood of bearing tau pathology, as a reference instead of the cerebellum.²⁷ $BP*_{ND}$ measures in white matter might be biased due to a possible difference in nondisplaceable binding of PBB3 between gray matter and white matter. However, the current reference tissue determined in gray matter does not provoke a pronounced bias affecting interpretation of the results when comparing the patient and control groups, since the bias at issue should be constant among Kii ALS/PDC patients and old HCs and should not cause larger differences in white matter regions relative to gray matter regions. An alternative option to quantify specific binding of $\left[$ ¹¹C]PBB3 in white matter would be to generate reference tissue data by extracting voxels from white matter only on the basis of a white matter frequency histogram. However, the signal-to-noise ratio in white matter reference tissue may not be as high as the ratio in gray matter reference tissue, due to low nondisplaceable radioligand binding in white matter relative to gray matter. Accordingly, this would lead to decreased accuracy in the quantification of tau pathologies in white matter.

The limitation of this study is that only a small number of male Kii ALS/PDC patients were included in the PET imaging assays. Since the occurrence of Kii ALS/PDC is not sexspecific, PET studies with a large number of Kii ALS/PDC patients including female patients will be required to further validate the current findings. In addition, a pursuit of the relationships between neuroimaging and neuropathologic data in the same patients with Kii ALS/PDC will ascertain the utility of $\left[{}^{11}C \right]$ PBB3 PET for assessing tau lesions in living patients.

[11C]PBB3 PET visualized the characteristic topography of tau pathology in Kii ALS/PDC, corresponding to clinical phenotypes of this disease.

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Disclosure

H. Shinotoh reports no disclosures relevant to the manuscript. H. Shimada holds a patent on compounds related to the present report (JP 5422782/EP 12 884 742.3). Y. Kokubo, K. Tagai, F. Niwa, S. Kitamura, H. Endo, M. Ono, Y. Kimura, S. Hirano, M. Mimuro, M. Ichise, and N. Sahara report no disclosures relevant to the manuscript. M. Zhang holds a patent on compounds related to the present report (JP 5422782/EP 12 884 742.3). T. Suhara holds a patent on compounds related to the present report (JP 5422782/EP 12

Appendix 1 Methods

Participants

Five patients who were current or previous inhabitants of the southern part of the Kii Peninsula and met the clinical criteria for probable Kii $ALS/PDC⁹$ were recruited at Mie University and affiliated hospitals, Okayama University, and Chiba University between 2014 and 2017. An asymptomatic participant from the southern part of the Kii Peninsula and with a dense family history of ALS/PDC also took part in this study. Thirteen healthy men ranging in age from 54 to 76 years participated as age- and sex-matched, healthy controls (old HCs). Eleven young healthy controls (5 men and 6 women, young HCs) ranging in age from 24 to 45 years took part in the study to optimize the PET data analysis. HCs were without any history of psychiatric and neurologic diseases, brain trauma, alcoholism, and drug abuse, and were cognitively and neurologically normal.

All patients and HCs were assessed by MMSE, FAB, CDR, and NPI, Unified UPDRS motor score at the time of the study.

Standard protocol approvals, registrations, and patient consents

This study was approved by the ethics committee of the National Institute of Radiologic Sciences, National Institutes for Quantum and Radiologic Science and Technology, and was registered in the University Medical Information Network Clinical Trials Registry (UMIN 000015453). Written informed consent was obtained from all participants and close family members of patients with Kii ALS/PDC and AD.

Image acquisition

All subjects underwent MRI, PET scans with $\lceil {^{11}C} \rceil$ PBB3, and with $\left[{}^{11}C \right]$ PiB in a day or 2 successive days at the National Institute of Radiologic Sciences, Chiba.

[¹¹C]PBB3 was radio-synthesized by reacting its desmethyl precursor (NARD Institute, Kobe, Japan) with $\lceil {^{11}C} \rceil CH_3I$ in the presence of potassium hydroxide, followed by deprotection with water as described previously.²⁸ $[$ ¹¹C]PiB was produced as documented elsewhere.²⁹

PET images were acquired with a Siemens ECAT EXACT HR+ scanner (CTI PET Systems, Inc, Knoxville, TN) with an axial field of view (FOV) of 155 mm, providing 63 contiguous 2.5-mm slices with 5.6-mm transaxial and 5.4-mm axial resolution. A transmission scan was performed for attenuation correction. Seventy-minute dynamic PET scans in 3-dimensional mode were performed after intravenous injection of $\binom{11}{ }$ C]PBB3 (injected dose, 450 ± 80 MBq; molar activity at the time of injection, 82 ± 36 GBq/µmol), and

 $[$ ¹¹C]PiB (injected dose, 436 ± 73 MBq; molar activity at the time of injection, 74 \pm 34 GBq/ μ mol). Dynamic emission scans consisted of 6×10 seconds, 3×20 seconds, 6×1 minute, 4×3 minutes and 10×5 minutes frames in $\lceil {}^{11}C \rceil$ PBB3- and $\left[$ ¹¹C $\right]$ PiB-PET. One of the Kii ALS/PDC patients (case 6) was unable to lie still for 70 minutes, so the scans were performed for 50 minutes after $[$ ¹¹C]PBB3 injection, and from 50 to 70 minutes after $[$ ¹¹C]PiB injection.

MR images were obtained with 3.0T Signa HDx (GE Healthcare, WI) in 22 HCs, and with 3.0T MAGNETOM Verio (Siemens Healthcare, Erlangen, Germany) in 6 Kii ALS/PDC patients and 2 HCs. T1-weighted images were taken for co-registration and segmentation of PET images (Signa HDxt: axial orientate as 1-mm thick sections, TE 2.85 ms, TR 7.00 ms, flip angle 8.0 degrees, TI 900 ms, FOV 260 mm, matrix size $256 \times 256 \times 166$; MAGNETOM Verio: sagittal orientate as 1-mm thick sections, TE 1.95 ms, TR 2,300 ms, flip angle 9.0 degrees, TI 900 ms, FOV 250 mm, matrix size $512 \times 512 \times 176$.

Image analysis

All PET images were reconstructed with a filtered back projection method and corrected for attenuation and scatter. A quantitative estimate of $[^{11}C]$ PBB3 binding, $BP*_{ND}$, was calculated on a voxel basis using a multilinear reference tissue model ($MRTM_O$) on the 50-minute sequential PET scan data after motion correction to generate parametric PET images.²⁵ Since tau pathology might exist in the cerebellum of patients with Kii $\overrightarrow{\text{ALS/PDC}}$,²⁶ the cerebellum was not used as reference tissue. Instead, an optimized reference tissue method was used to minimize inclusion of tau-positive areas in a reference tissue as previously described.²⁷ Parametric BP^*_{ND} images were initially generated using reference tissue manually defined on the cerebellar cortex. Then a frequency histogram of BP^*_{ND} values was obtained from these parametric images, and cortical gray matter voxels in a certain range of the histogram with a low likelihood of having \lceil ¹¹C]PBB3 specific binding were extracted as optimized reference tissue voxels. In the present study, the range of the BP^*_{ND} histogram for reference tissue was set at 0.36 and the lower limit of this range was set at −2 SD to threshold out noisy voxels data available from Dryad (figure e-1a, [doi.org/10.5061/dryad.241d1fs\)](https://doi.org/10.5061/dryad.241d1fs). The BP_{ND}^* range of 0.36 was determined as the mean fullwidth at half-maximum of BP_{ND}^* histograms in 11 young HCs who were supposedly devoid of tau pathology. Reference voxels were extracted by intersecting voxels with $BP*_{ND}$ values above mean −2 SD for a range of 0.36 and cortical and cerebellar cortical gray matter on MRI in each participant data available from Dryad (figure e-1b). Time-radioactivity curves in all extracted reference voxels were averaged, and a $BP*_{ND}$ parametric image was generated using MRTM_O and this averaged reference curve.

An automated analysis of VOIs was performed using the PNEURO module in the PMOD software (Version. 3.8,

PMOD Technologies, Zurich, Switzerland). This software allows the placement of standard VOIs on individual native PET space using individual T1-weighted MR images. Partial volume correction was not performed. VOIs were defined in the following regions: frontal, temporal, parietal, and occipital gray matters, hippocampus, white matter, and pons using the Automated Anatomical Labeling Merged atlas and individual segmented MRI data. Cerebral white matter was divided into frontal, temporal, parietal and occipital white matters using VOIs in the WFU-Pickatlas [\(fmri.wfubmc.edu/software/](http://fmri.wfubmc.edu/software/PickAtlas) [PickAtlas](http://fmri.wfubmc.edu/software/PickAtlas)). For voxel-based analysis, $[^{11}C]$ PBB3 BP $*_{ND}$ images were normalized to the Montreal Neurologic Institute stereotactic space, and smoothed using a 8-mm full-width at half-maximum isotropic Gaussian kernel using SPM12 running on MATLAB R2015b (Mathworks Inc, Sherborn, MA). $\left[\begin{smallmatrix} 11\end{smallmatrix} \right]$ PBB3 BP*ND images of each Kii ALS/PDC patient were compared with those of 13 old HCs in a voxel-by-voxel manner by 2-sample t test in a single-case SPM analysis. Group comparisons by 2-sample t tests in SPM12 were also performed between the 6 Kii ALS/PDC patients and 13 old HCs. The results were displayed on the MRI template in MRIcron [\(people.cas.sc.edu/rorden/mricron/index.html\)](http://people.cas.sc.edu/rorden/mricron/index.html).

Parametric $\binom{11}{ }$ C]PiB images for amyloid β were generated by voxel-based calculation of standardized uptake value ratio (SUVR) at 50–70 minutes between the target voxel and cerebellar cortex VOI. The whole cerebral cortical SUVR was calculated on spatially normalized parametric $[^{11}C]PiB$ images. $\lceil {}^{11}C \rceil$ PiB retention was assessed by visual inspection of SUVR images, and classified as $Pi(+)$ when $\left[$ ¹¹C $\right]$ PiB uptake in gray matter of more than one gyrus exceeded those in white matter, and PiB(−) when $[$ ¹¹C]PiB uptake in gray matter was equal to or lower than those in white matter.

Statistical analysis

The demographic parameters of the participants were statistically examined by 2-sample t test. Multiple t tests followed by Holm-Sidak multiple comparisons test were performed to assess differences in regional $\binom{11}{C}$ PBB3 BP^{*}_{ND} between the 6 Kii ALS/PDC patients and the 13 old HCs in a VOI analysis. Spearman's correlational analysis was performed between regional $\left[$ ¹¹C]PBB3 BP^{*}_{ND} and clinical and cognitive scores in Kii ALS/PDC patients. Corrections for multiple comparison were not performed in the correlational analysis. A p value <0.05 was considered significant. These analyses were performed using GraphPad Prism 7 software (Graph-Pad, San Diego, CA). Voxel-by voxel 2-sample t tests of $\lceil {}^{11}C \rceil$ PBB3 $BP*_{ND}$ images were performed at liberal thresholds (height threshold, $p = 0.05$ and $p = 0.01$, uncorrected; extent threshold = 50 voxels) in SPM 12 because of the exploratory nature of this study.

Kii ALS/PDC brain tissue staining

PBB3 staining of brain tissues was examined in another cohort of Kii ALS/PDC patients. Post-mortem human brain tissues

were obtained from autopsies of 3 patients with Kii ALS-PDC ("first series" samples, corresponding to cases 1 [63F; age/ sex], 2 $[65M]$, 8 $[77M]$ in a previous report³) carried out at the Department of Oncologic Pathology, Mie University School of Medicine, and examined at the Department of Neuropathology of Aichi Medical University. Tissues were fixed in 10% neutral buffered formalin followed by embedding in paraffin blocks. We performed PBB3 staining, immunostaining, and Gallyas-Braak silver staining of brain tissue samples at the National Institute of Radiologic Sciences, Chiba. For fluorescence labelling with PBB3, 4.5-μm thick deparaffinized sections were incubated in 50% ethanol containing 0.001% PBB3 at room temperature for 30 minutes. The samples were rinsed with 50% ethanol for 5 minutes, dipped into distilled water twice for 3 minutes each, and mounted in non-fluorescent mounting media (VECTASHIELD; Vector Laboratories, Burlingame, CA). Fluorescence images were captured using a DM4000 microscope (Leica, Wetzler, Germany) equipped with a custom filter cube for PBB3 (excitation band-pass at 391–437 nm and suppression low-pass with 458 nm cut-off). 7 Following fluorescence microscopy, all sections labelled with PBB3 were autoclaved for antigen retrieval and immunostained with anti-tau monoclonal

antibody against tau phosphorylated at Ser202 and Thr205 (AT8: Thermo Fisher Scientific, Waltham, MA). Immunolabelling was then examined using DM4000. Finally, the tested samples were used for Gallyas-Braak silver staining with Nuclear Fast Red (Sigma-Aldrich, Burlington, MA) counter-staining after pretreatment with 0.25% KMnO4 followed by 2% oxalic acid.

Since our pilot neuropathologic study at the Department of Neuropathology of Aichi Medical University indicated depositions of 4-repeat tau aggregates in glial cells along with ADlike neuronal tau inclusions composed of all 6 tau isoforms in 2 out of more than 20 consecutive autopsy cases with Kii ALS/PDC, we conducted histochemical and immunohistochemical staining of brain slices derived from these cases ("second series" samples, corresponding to cases 11 [70F] and 12 [71F] in a previous report³] according to the abovementioned procedures, in order to examine the reactivity of PBB3 with these glial tau pathologies.

Data sharing statement

Anonymized data in the article may be shared upon reasonable request from any qualified investigator.

Appendix 3 Co-investigators

Abbreviations: NCGG = National Center for Geriatrics and Gerontology; NIRS = National Institute of Radiological Sciences, National Institutes for Quantum and Radiological Science and Technology.

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